



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Show-Mean Wu et al.

Serial No.: 10/637,142

Examiner: Unknown

Filed: August 8, 2003

Group Art Unit: 3763

For: CATHETER SHAFT FOR REGULATION OF INFLATION AND DEFLATION

Docket: 1001.1684101

TRANSMITTAL SHEET

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

CERTIFICATE UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:
Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450
on this 4th day of November 2003.

By

David M. Crompton

We are transmitting herewith the attached:

- ☐ Amendment
☐ No additional fee required
☐ The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X 9=	\$	X 18=	\$
INDEPENDENT CLAIMS	-	=		X 43=	\$	X 86 =	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+ 145 =	\$	+ 290 =	\$
TOTAL				\$		\$	

[] A check in the amount of \$_____ is enclosed. Itemization:

Fee Code _____ \$

Fee Code _____ \$

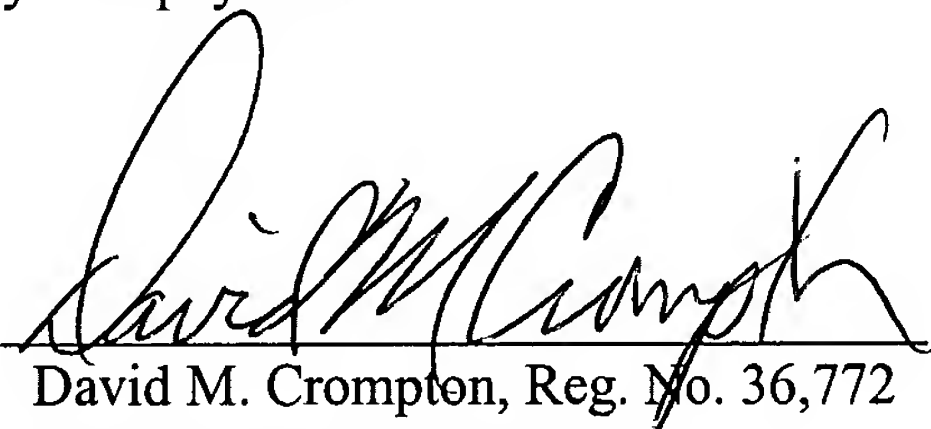
Fee Code _____ \$

[] Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.

[XX] Other: INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449 AND 21 CITED NON-US PATENT/PUBLICATION REFERENCES.

[XX] Return Receipt Postcard (MPEP 503).

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: 
David M. Crompton, Reg. No. 36,772
Customer No. **28075**

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Show-Mean Wu et al.

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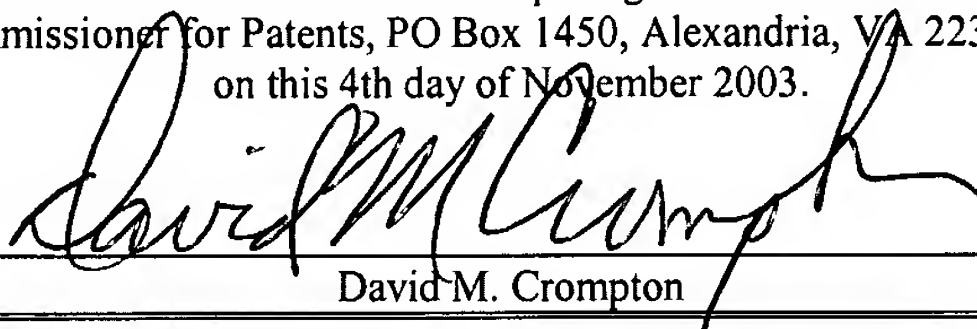
For: CATHETER SHAFT FOR REGULATIONS OF INFLATION AND DEFLATION

Docket No.: 1001.1684101

INFORMATION DISCLOSURE STATEMENT

CERTIFICATE UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:
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By


David M. Crompton

Dear Sir:

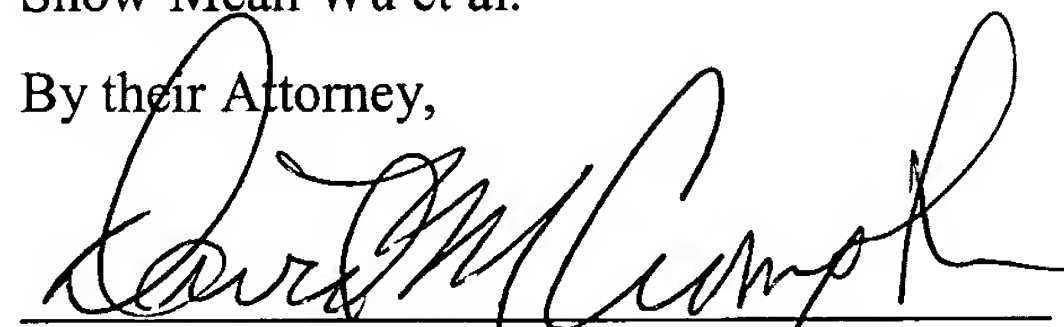
Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

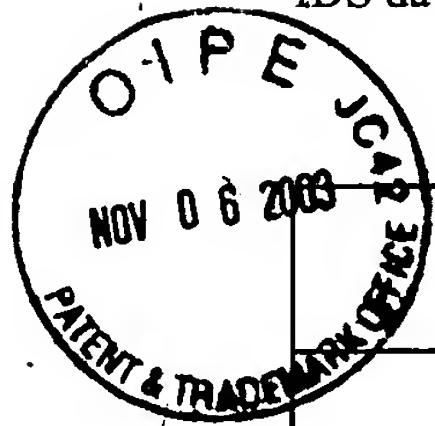
Show-Mean Wu et al.

By their Attorney,



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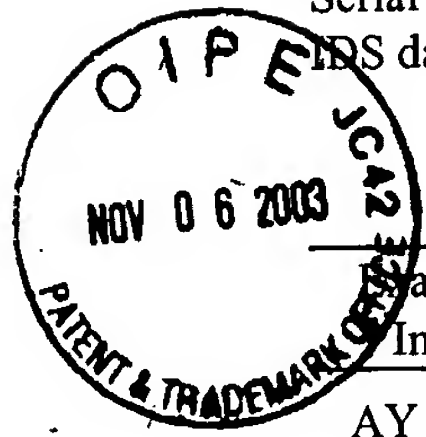
Date: 11/4/03



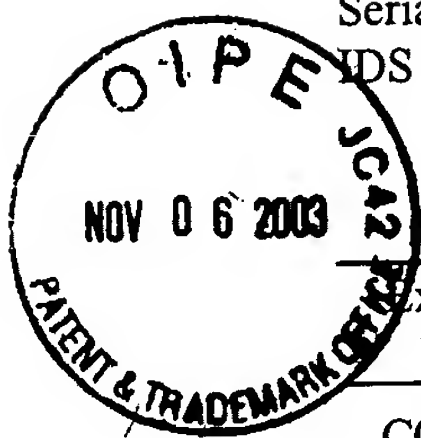
FORM PTO-1449		Atty. Docket No.: 1001.1684101	Serial No.: 10/637,142
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Show-Mean Wu et al.	
		Filing Date: August 8, 2003	Group Art: 3763

U.S. PATENT DOCUMENTS

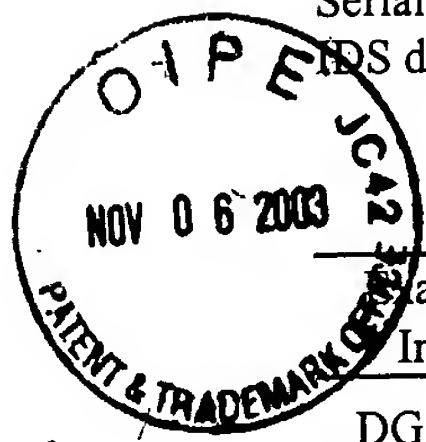
Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AA	RE 32,983	07/11/1989	Levy	
AB	RE 33,561	03/26/1991	Levy	
AC	2,816,552	12/17/1957	Hoffman	
AD	3,174,851	03/23/1965	Buehler et al.	
AE	3,351,463	11/07/1967	Rozner et al.	
AF	3,635,223	01/18/1972	Klieman	
AG	3,749,085	07/31/1973	Willson et al.	
AH	3,753,700	08/21/1973	Harrison et al.	
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AJ	4,140,126	02/20/1979	Choudhury	
AK	4,141,364	02/27/1979	Schultze	
AL	4,263,236	04/21/1981	Briggs et al.	
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AO	4,406,656	09/27/1983	Hattler et al.	
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AX	4,696,667	09/29/1987	Masch	



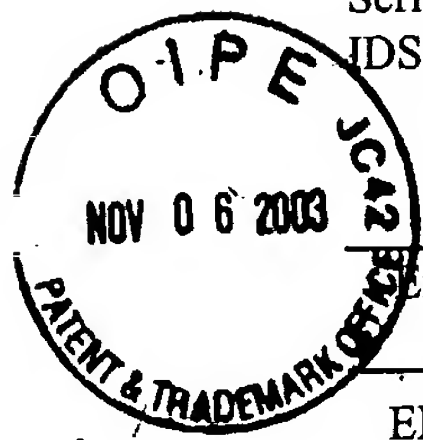
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AY	4,705,517	11/10/1987	DiPisa, Jr.	
AZ	4,723,549	02/09/1988	Wholey et al.	
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BC	4,748,982	06/07/1988	Horzewski et al.	
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BF	4,790,813	12/13/1988	Kensey	
BG	4,793,348	12/27/1988	Palmaz	
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BJ	4,886,061	12/12/1989	Fischell et al.	
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BM	4,936,845	06/26/1990	Stevens	
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BO	5,009,659	04/23/1991	Hamlin et al.	
BP	5,030,201	07/09/1991	Palestrant	
BQ	5,042,985	08/27/1991	Elliott et al.	
BR	5,047,040	09/10/1991	Simpson et al.	
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BV	5,085,662	02/04/1992	Willard	
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Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
CC	5,152,773	10/06/1992	Redha	
CD	5,156,594	10/20/1992	Keith	
CE	5,156,610	10/20/1992	Reger	
CF	5,158,564	10/27/1992	Schnepp-Pesch et al.	
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CJ	5,209,749	05/11/1993	Buelna	
CK	5,209,799	05/11/1993	Vigil	
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DF	5,507,760	04/16/1996	Wynne et al.	



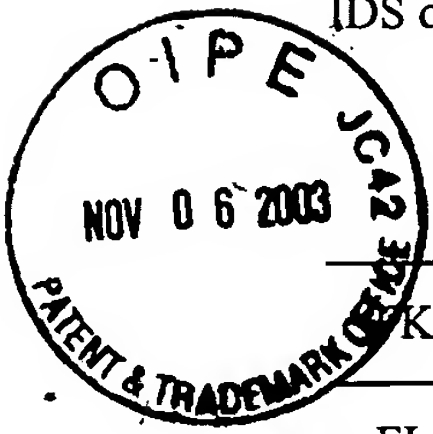
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DG	5,507,761	04/16/1996	Duer	
DH	5,522,818	06/04/1996	Keith et al.	
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	Document No.	Date	Country	Translation Yes No
FC	DE 34 00 416 A1	07/18/1985	Germany	Yes
FD	DE 3402573	08/22/1985	Germany	Yes
FE	DE 35 19 626 A1	12/04/1986	Germany	Yes
FF	EP 0 291 170 A1	11/17/1988	Europe	
FG	EP 0 414 350 A1	02/27/1991	Europe	
FH	GB 1 547 328	06/13/1979	United Kingdom	
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

FL	Lary, Banning G., et al., "A Method for Creating a Coronary-Myocardial Artery," <u>Surgery</u> , June 1966, Vol. 59, No. 6, pp. 1061-1064.
FM	Lary, Banning G., "A Method to Create and Correct Stenosis of a Coronary Artery," <u>Archives of Surgery</u> , November 1966, Vol. 93, pp. 828-830.
FN	Lary, Banning G., "An Epicardial Purse String Suture for Closing Coronary Arteriotomy," <u>The American Surgeon</u> , March 1967, Vol. 33, No. 3, pp. 213-214.
FO	Lary, Banning G., "Coronary Artery Incision and Dilation," <u>Archives of Surgery</u> , December 1980, Vol. 115, pp. 1478-1480.
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FQ	Lary, Banning G., "Effect of Endocardial Incisions on Myocardial Blood Flow," <u>Archives of Surgery</u> , September 1963, Vol. 87, pp. 424-427.
FR	Lary, B.G., "Experimental Maintenance of Life by Intravenous Oxygen, Preliminary Report," <u>Clinical Congress of the American College of Surgeons</u> , San Francisco, November 5-9, 1951, pp. 30-35.
FS	Lary, Banning G., et al., "Experimental Vein Angioplasty of the Circumflex Coronary Artery," <u>Journal of Surgical Research</u> , September 1974, Vol. 17, No. 3, pp. 210-214.
FT	Lary, Banning G., "Method for Increasing the Diameter of Long Segments of the Coronary Artery," <u>The American Surgeon</u> , January 1966, Vol. 32, No. 1, pp. 33-35.
FU	Lary, Banning G., et al., "Myocardial Revascularization Experiments Using the Epicardium," <u>Archives of Surgery</u> , January 1969, Vol. 98, pp. 69-72.
FV	Lary, Banning G., "Onlay Vein Graft for the Correction of Coronary Artery Obstruction," <u>Surgery</u> , April 1966, Vol. 59, No. 4, pp. 547-551.
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	Lary, Banning G., et al., "The 'Coronary Myocardial Artery' for Coronary Artery Disease," <u>Diseases of the Chest</u> , April 1996, Vol. 49, No. 4, pp. 412-419.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.